

The information in this form is collected in order to comply with the Foreign Account Tax Compliance Act (FATCA) requirements.

1 FATCA DECLARATION FOR INDIVIDUAL APPLICANT(S) (If you respond "Yes" for question 1.1 or 1.2, please ignore questions 1.3 to 1.8)

U.S. Indicia Status	First Applicant		Joint Applicant		Document required if Yes
	Yes	No	Yes	No	
1.1 Are you a U.S. citizen or resident for tax purposes?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	W-9 and U.S. Passport
1.2 Are you a U.S. resident / green card holder?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
1.3 Is U.S. your country of birth?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	W-8BEN and MyKad / Malaysian Passport / Loss of Nationality Certificate
1.4 Is your residential address or mailing address (including U.S. post office box) in the U.S.?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	W-8BEN and MyKad / Malaysian Passport / Certificate of Residence
1.5 Do you have a current U.S. telephone number?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	W-8BEN and MyKad / Malaysian Passport
1.6 Do you currently maintain any standing instructions with the U.S.?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
1.7 Do you currently grant power of attorney or signatory authority to any person(s) within the U.S.?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
1.8 Do you currently have a 'hold mail' or 'in care of' address located in the U.S. as your sole address?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

2 FATCA DECLARATION FOR CORPORATE APPLICANT (If you respond "Yes" to any questions from 2.1 to 2.7, please ignore questions 2.8 and 2.9)

U.S. Indicia Status	Yes	No	Document required if Yes
2.1 Is the Country of Incorporation – U.S.?	<input type="checkbox"/>	<input type="checkbox"/>	W-9 Form
2.2 Does your organization have a U.S. beneficiary?	<input type="checkbox"/>	<input type="checkbox"/>	
2.3 Is your director / promoter / authorised signatory / POA holder based out of U.S. or holds U.S. residence/citizenship?	<input type="checkbox"/>	<input type="checkbox"/>	
2.4 Does your organization have one or more U.S. beneficial owners/shareholders with more than 10% ownership on vote or value of stock?	<input type="checkbox"/>	<input type="checkbox"/>	
2.5 Does your organization have partners (of U.S.) owning more than 10% profit or capital interest in a partnership?	<input type="checkbox"/>	<input type="checkbox"/>	
2.6 Any U.S. "owner" of a grantor trust or, to the extent provided in regulations, a more than 10% beneficial interests in a trust?	<input type="checkbox"/>	<input type="checkbox"/>	
2.7 Does your organization/entity pay tax in the U.S.?	<input type="checkbox"/>	<input type="checkbox"/>	
2.8 Does your organization/entity hold a mailing address / communication address in the U.S.?	<input type="checkbox"/>	<input type="checkbox"/>	<ul style="list-style-type: none"> W-8BEN-E Form for non U.S. organization/entity W-9 Form for U.S. organization/entity
2.9 Do you have a U.S. telephone number?	<input type="checkbox"/>	<input type="checkbox"/>	W-8BEN-E Form

Important Note

Should you respond "Yes" to any of the U.S. Indicia Status, you are required to produce required documents. If you do not submit the required documents, BOS Wealth Management Malaysia Berhad (BOSWM MY) will report your name, address and any information to the Inland Revenue Board of Malaysia (IRBM).

Please turn overleaf.

3 DEFINITIONS

- ¹ The term U.S. person or United States person means a person describe in section 7701 (a)(30) of the Internal Revenue Code:
- (A) A citizen or resident of the United States;
 - (B) A United States partnership;
 - (C) A United States corporation;
 - (D) Any estate (other than an estate the income of which, from sources without the United States which is not effectively connected with the conduct of a trade or business within the United States, is not includible in gross income under the Internal Revenue Code); and
 - (E) Any trust if:
 - a. A court within the United States is able to exercise primary supervision over the administration of the trust; and
 - b. One or more United States persons have the authority to control all substantial decisions of the trust.

4 DECLARATION AND SIGNATURE

- (a) I/We represent and declare that the information provided is true, accurate and complete, and agree to provide BOSWM MY with any further documentation or information required in accordance with the requirements of the Foreign Account Tax Compliance Act.
- (b) I/We consent for BOSWM MY, or any of its affiliates to report my information to regulatory authorities in accordance with the requirements of Foreign Account Tax Compliance Act as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.
- (c) I/We consent that BOSWM MY may withhold from my account(s) such amounts in accordance with the requirements of Foreign Account Tax Compliance Act as may be stipulated by applicable laws, regulation, agreement or regulatory guidelines or directives.
- (d) I/We agree that if there is any change in the information provided to BOSWM MY that makes me a U.S. person¹ or I am deemed by BOSWM MY as a recalcitrant (a person who fails to comply with reasonable requests for information to determine if this account belongs to a U.S. person), BOSWM MY has the right to terminate my/our account(s) and/or facilities granted to me/us.
- (e) I/We undertake to notify BOSWM MY in writing within 30 calendar days if there is any change in the information which I have provided herein.
- (f) I/We agree to indemnify BOSWM MY in respect of any false or misleading information regarding my/our U.S. person status for Foreign Account Tax Compliance Act purpose.

Name of First Applicant /
Authorised Signatory (1)

Signature of First Applicant /
Authorised Signatory (1)

NRIC/Passport No. / Company
Seal / Company Stamp

Date

Name of Joint Applicant /
Authorised Signatory (2)

Signature of Joint Applicant /
Authorised Signatory (2)

NRIC/Passport No. / Company
Seal / Company Stamp

Date

FOR OFFICE USE ONLY

Remarks	Verified By	Date	Received Date Stamp
	Processed By	Date	